

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

CHAD TRACY VEGA,

Plaintiff,

v.

CAROLYN W. COLVIN, Commissioner
of Social Security,¹

Defendant.

No. CV-11-0310-FVS

ORDER GRANTING DEFENDANT'S
MOTION FOR SUMMARY JUDGMENT

BEFORE THE COURT are cross-motions for summary judgment noted for hearing without oral argument. (ECF No. 13, 16). Attorney Maureen J. Rosette represents plaintiff; Special Assistant United States Attorney Jeffrey R. McClain represents the Commissioner of Social Security (defendant). After reviewing the administrative record and the briefs filed by the parties, the Court **GRANTS** defendant's Motion for Summary Judgment.

JURISDICTION

Plaintiff filed applications for disability insurance benefits (DIB) and supplemental security income (SSI) on October

¹As of February 14, 2013, Carolyn W. Colvin succeeded Michael J. Astrue as Acting Commissioner of Social Security. Pursuant to Fed.R.Civ.P. 25(d), Commissioner Carolyn W. Colvin is substituted as the defendant, and this lawsuit proceeds without further action by the parties. 42 U.S.C. § 405(g).

1 6, 2008, alleging disability as of July 17, 2005 (Tr. 136-142).
2 The applications were denied initially and on reconsideration.
3 Administrative Law Judge (ALJ) James W. Sherry held a hearing on
4 June 10, 2010 (Tr. 38-75). The ALJ issued an unfavorable decision
5 on July 21, 2010 (Tr. 14-27). The Appeals Council denied review
6 on July 27, 2011 (Tr. 1-6). The ALJ's decision became the final
7 decision of the Commissioner, which is appealable to the district
8 court pursuant to 42 U.S.C. § 405(g). Plaintiff filed this action
9 for judicial review on August 22, 2011. (ECF No. 1).

10 **STATEMENT OF FACTS**

11 The facts have been presented in the administrative hearing
12 transcript, the ALJ's decision, and the briefs of the parties.
13 They are only briefly summarized here.

14 Plaintiff was born on October 31, 1975, and was 29 years old
15 at the time of the alleged onset date (Tr. 43). At the
16 administrative hearing, plaintiff testified he was single, had
17 three minor children, and lived in a home with his grandparents
18 (Tr. 44). He did not live with his children but had weekend
19 visitations with his twin four-year-olds (Tr. 45). Plaintiff had
20 obtained his GED, and his sole source of income at the time was
21 public assistance (Tr. 45-46).

22 Plaintiff alleges he stopped working in 2005 due to an on-
23 the-job injury (Tr. 47). Plaintiff testified he tripped and fell
24 out of his parcel delivery truck, landed on his head, and
25 compressed his spine and neck (Tr. 47, 52). He underwent surgery
26 on his neck as a result of the injury (Tr. 53). Plaintiff stated
27 that prior to the neck injury, he also had two surgeries on his
28 right knee and one surgery on his left knee (Tr. 51). He

1 indicated his knees have done well since the surgeries but that he
2 must still take it easy on them and use ice if he overdoes it (Tr.
3 51). He stated he continues to have daily neck spasms, has
4 headaches three or four times a week, and is limited in his range
5 of motion with his neck (Tr. 53-54, 57). He further stated he has
6 daily lower back pain which causes problems with his sleep (Tr.
7 54, 57). Plaintiff also testified he experienced daily anxiety
8 and panic attacks and was taking medications for depression and
9 anxiety (Tr. 58-59).

10 Plaintiff testified he could sit for 25 to 30 minutes at a
11 time, stand 25 to 30 minutes at a time, walk about a block before
12 having to take a break, and lift and carry about 15 pounds, but
13 nothing above the shoulders (Tr. 54-56). He stated it was
14 uncomfortable to bend over and pick something off the floor, and
15 although he was able to climb stairs, he would go very slow and it
16 caused pain. (Tr. 55-56). With regard to housework and chores,
17 plaintiff indicated he did very little (Tr. 59). He could help
18 vacuum on a good day, fold some clothes, and do a little bit of
19 cooking (Tr. 59-60). He usually spends his days watching
20 television, going to appointments and assisting his fiancé with
21 errands (Tr. 60). Plaintiff testified he would go fishing for
22 recreation on good days, but he stated he has three to four bad
23 days a week, and, on those occasions, he is not able to do much of
24 anything (Tr. 60, 62-65).

25 SEQUENTIAL EVALUATION PROCESS

26 The Social Security Act (the Act) defines disability as the
27 "inability to engage in any substantial gainful activity by reason
28 of any medically determinable physical or mental impairment which

1 can be expected to result in death or which has lasted or can be
2 expected to last for a continuous period of not less than twelve
3 months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act also
4 provides that a plaintiff shall be determined to be under a
5 disability only if any impairments are of such severity that a
6 plaintiff is not only unable to do previous work but cannot,
7 considering plaintiff's age, education and work experiences,
8 engage in any other substantial gainful work which exists in the
9 national economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B).
10 Thus, the definition of disability consists of both medical and
11 vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156
12 (9th Cir. 2001).

13 The Commissioner has established a five-step sequential
14 evaluation process for determining whether a person is disabled.
15 20 C.F.R. §§ 404.1520, 416.920. Step one determines if the person
16 is engaged in substantial gainful activities. If so, benefits are
17 denied. 20 C.F.R. §§ 404.1520(a)(4)(I), 416.920(a)(4)(I). If
18 not, the decision maker proceeds to step two, which determines
19 whether plaintiff has a medically severe impairment or combination
20 of impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii),
21 416.920(a)(4)(ii).

22 If plaintiff does not have a severe impairment or combination
23 of impairments, the disability claim is denied. If the impairment
24 is severe, the evaluation proceeds to the third step, which
25 compares plaintiff's impairment with a number of listed
26 impairments acknowledged by the Commissioner to be so severe as to
27 preclude substantial gainful activity. 20 C.F.R. §§
28 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404 Subpt. P

1 App. 1. If the impairment meets or equals one of the listed
2 impairments, plaintiff is conclusively presumed to be disabled.
3 If the impairment is not one conclusively presumed to be
4 disabling, the evaluation proceeds to the fourth step, which
5 determines whether the impairment prevents plaintiff from
6 performing work which was performed in the past. If a plaintiff
7 is able to perform previous work, that plaintiff is deemed not
8 disabled. 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At
9 this step, plaintiff's residual functional capacity (RFC) is
10 considered. If plaintiff cannot perform past relevant work, the
11 fifth and final step in the process determines whether plaintiff
12 is able to perform other work in the national economy in view of
13 plaintiff's residual functional capacity, age, education and past
14 work experience. 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v);
15 *Bowen v. Yuckert*, 482 U.S. 137 (1987).

16 The initial burden of proof rests upon plaintiff to establish
17 a *prima facie* case of entitlement to disability benefits.
18 *Rhinehart v. Finch*, 438 F.2d 920, 921 (9th Cir. 1971); *Meanel v.*
19 *Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999). The initial burden is
20 met once plaintiff establishes that a physical or mental
21 impairment prevents the performance of previous work. The burden
22 then shifts, at step five, to the Commissioner to show that (1)
23 plaintiff can perform other substantial gainful activity and (2) a
24 "significant number of jobs exist in the national economy" which
25 plaintiff can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9th
26 Cir. 1984).

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STANDARD OF REVIEW

Congress has provided a limited scope of judicial review of a Commissioner's decision. 42 U.S.C. § 405(g). A Court must uphold the Commissioner's decision, made through an ALJ, when the determination is not based on legal error and is supported by substantial evidence. See *Jones v. Heckler*, 760 F.2d 993, 995 (9th Cir. 1985); *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). "The [Commissioner's] determination that a plaintiff is not disabled will be upheld if the findings of fact are supported by substantial evidence." *Delgado v. Heckler*, 722 F.2d 570, 572 (9th Cir. 1983) (citing 42 U.S.C. § 405(g)). Substantial evidence is more than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112, 1119 n. 10 (9th Cir. 1975), but less than a preponderance. *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9th Cir. 1989). Substantial evidence "means such evidence as a reasonable mind might accept as adequate to support a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971) (citations omitted). "[S]uch inferences and conclusions as the [Commissioner] may reasonably draw from the evidence" will also be upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9th Cir. 1965). On review, the Court considers the record as a whole, not just the evidence supporting the decision of the Commissioner. *Weetman v. Sullivan*, 877 F.2d 20, 22 (9th Cir. 1989) (quoting *Kornock v. Harris*, 648 F.2d 525, 526 (9th Cir. 1980)).

It is the role of the trier of fact, not this Court, to resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence supports more than one rational interpretation, the Court may not substitute its judgment for that of the Commissioner.

1 *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579
2 (9th Cir. 1984). Nevertheless, a decision supported by
3 substantial evidence will still be set aside if the proper legal
4 standards were not applied in weighing the evidence and making the
5 decision. *Browner v. Secretary of Health and Human Services*, 839
6 F.2d 432, 433 (9th Cir. 1987). Thus, if there is substantial
7 evidence to support the administrative findings, or if there is
8 conflicting evidence that will support a finding of either
9 disability or nondisability, the finding of the Commissioner is
10 conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th Cir.
11 1987).

12 **ALJ'S FINDINGS**

13 The ALJ determined, at step one, that plaintiff has not
14 engaged in substantial gainful activity since July 17, 2005, the
15 alleged onset date (Tr. 16). At step two, the ALJ found plaintiff
16 had severe impairments of "cervical degenerative disc disease,
17 lumbar degenerative disc disease, and right knee disorder, status
18 post cartilage surgery" (Tr. 16). The ALJ specifically concluded
19 plaintiff suffered no severe impairment with respect to his left
20 knee and mental health (Tr. 19-23).

21 At step three, the ALJ found plaintiff's impairments, alone
22 and in combination, did not meet or medically equal one of the
23 listed impairments in 20 C.F.R., Appendix 1, Subpart P,
24 Regulations No. 4 (Tr. 23). The ALJ assessed plaintiff's RFC
25 during the relevant time period and determined that plaintiff
26 could perform light work except that he should never climb
27 ladders, ropes or scaffolds; he could only occasionally climb
28 ramps or stairs, balance, stoop, crouch, kneel and crawl; and

1 should avoid concentrated exposure to hazards such as moving
2 machinery and unprotected heights. At step four, the ALJ found
3 that plaintiff could perform his past relevant work as a teacher,
4 vocational training (Tr. 27). The ALJ thus determined that
5 plaintiff has not been under a disability within the meaning of
6 the Social Security Act at any time from July 17, 2005, through
7 the date of his decision (Tr. 27).

8 **ISSUES**

9 Plaintiff argues the ALJ erred at step two of the sequential
10 evaluation process with respect to his mental impairments.
11 Plaintiff asserts he has provided ample evidence proving the
12 existence of a severe psychological impairment. Plaintiff
13 additionally contends he is more limited from a physical
14 standpoint than what was determined by the ALJ. (ECF No. 14 at
15 11-18).

16 **DISCUSSION**

17 **I. Severe Impairment**

18 Plaintiff asserts the ALJ erred by concluding he does not
19 have a severe mental impairment at step two of the sequential
20 evaluation process. With respect to this issue, plaintiff argues
21 the ALJ erroneously rejected the opinions of Brooke Sjostrom, MS,
22 LMHC, and Mahlon Dalley, Ph.D., in favor of the opinions of the
23 state agency reviewing physician (ECF No. 14 at 12-16).

24 In a disability proceeding, the courts distinguish among the
25 opinions of three types of physicians: treating physicians,
26 physicians who examine but do not treat the claimant (examining
27 physicians) and those who neither examine nor treat the claimant
28 (nonexamining physicians). *Lester v. Chater*, 81 F.3d 821, 839

1 (9th Cir. 1996). The Ninth Circuit has held that "[t]he opinion
2 of a nonexamining physician cannot by itself constitute
3 substantial evidence that justifies the rejection of the opinion
4 of either an examining physician or a treating physician."
5 *Lester*, 81 F.3d at 830. Rather, an ALJ's decision to reject the
6 opinion of a treating or examining physician, may be *based in part*
7 on the testimony of a nonexamining medical advisor. *Magallanes*,
8 881 F.2d at 751-55; *Andrews v. Shalala*, 53 F.3d 1035, 1043 (9th
9 Cir. 1995). The ALJ must also have other evidence to support the
10 decision such as laboratory test results, contrary reports from
11 examining physicians, and testimony from the claimant that was
12 inconsistent with the physician's opinion. *Magallanes*, 881 F.2d
13 at 751-52; *Andrews*, 53 F.3d 1042-43. Moreover, an ALJ may reject
14 the testimony of an examining, but nontreating physician, in favor
15 of a nonexamining, nontreating physician only when he gives
16 specific, legitimate reasons for doing so, and those reasons are
17 supported by substantial record evidence. *Roberts v. Shalala*, 66
18 F.3d 179, 184 (9th Cir. 1995).

19 In this case, while the ALJ accorded significant weight to
20 the state agency reviewing psychological consultant (Tr. 20),
21 substantial evidence in addition to the state agency report
22 justifies the ALJ's rejection of the reports of Dr. Dalley and Ms.
23 Sjostrom.

24 On August 15, 2008, Ms. Sjostrom, under the supervision of
25 Dr. Dalley, completed a psychological evaluation of plaintiff (Tr.
26 458-468). It was reported that plaintiff had a history of
27 marijuana, methamphetamine and cocaine abuse while hanging out
28 with members of the Hells Angels motorcycle club, but that he was

1 able to quit using drugs on his own and had been abstinent for
2 years (Tr. 459). Plaintiff was diagnosed with undifferentiated
3 somatoform disorder and adjustment disorder with mixed anxiety and
4 depressed mood and given a global assessment of functioning
5 ("GAF") score of 55². Despite a GAF score indicative of only
6 moderate symptoms, it was opined that plaintiff had marked
7 limitations with his ability to relate appropriately to co-workers
8 and supervisors and to respond appropriately to and tolerate the
9 pressures and expectations of a normal work setting (Tr. 465). As
10 noted by the ALJ, the marked limitations are conclusory and
11 unsupported by objective medical findings (Tr. 19).

12 On February 21, 2009, Nathan Henry, Psy.D., completed a
13 psychological evaluation of plaintiff (Tr. 551-556). Plaintiff
14 reported to Dr. Henry that he drank heavily for a period of six
15 months following his accident, had been a long-time user of
16 cannabis, last using about three months prior to the evaluation,
17 and used methamphetamine for a one-year period at age 21 (Tr.
18 553). Plaintiff indicated he spends his days catching up on
19 chores, cooking and watching his fiancé's children (ages 7, 4 and
20 3) while she is at work (Tr. 554). He stated he has two or three
21 close friends, interacted socially mostly with family, does not
22 have a history of problems making and maintaining friendships, and
23 is able to relate fairly well with others (Tr. 554-555). Dr.
24 Henry diagnosed major depressive disorder, recurrent, in partial
25 remission, alcohol abuse, and cannabis abuse and assessed a GAF

27 ²A GAF of 60-51 reflects: Moderate symptoms or moderate
28 difficulty in social, occupational, or school functioning.
DIAGNOSTIC AND STATISTICAL MANUAL OF MENTAL DISORDERS 32 (4th ed. 1994).

1 score of 60 (Tr. 554-555). Dr. Henry indicated that plaintiff had
2 responded fairly well to prescribed medication and although his
3 depressive and anxiety symptoms would likely make it more
4 difficult for him to obtain and sustain gainful employment, the
5 symptoms did not appear to be of the nature or severity as to
6 prevent him from working (Tr. 555). The ALJ gave "great weight"
7 to Dr. Henry's opinion that plaintiff's mental impairments did not
8 significantly limit his ability to perform work activities, as it
9 was based upon history and evaluation and was consistent with the
10 other objective evidence of record (Tr. 20).

11 On March 23, 2009, a state agency reviewing psychological
12 consultant, Edward Beatty, Ph.D., opined that plaintiff's mental
13 impairments were nonsevere, noting that he had no restrictions in
14 activities of daily living, mild difficulties in maintaining
15 social functioning, mild difficulties in maintaining
16 concentration, persistence or pace, and no episodes of
17 decompensation (Tr. 557-570). The ALJ afforded Dr. Beatty's
18 opinions significant weight because they were well supported by
19 the medical evidence (Tr. 20-21).

20 On November 18, 2009, Dr. Dalley completed a second
21 psychological evaluation of plaintiff (Tr. 616-624). Dr. Dalley
22 diagnosed plaintiff with major depressive disorder, recurrent,
23 severe, without psychotic features; pain disorder associated with
24 both psychological factors and a general medical condition; and
25 personality disorder, NOS, with dependent features (Tr. 618). Dr.
26 Dalley concluded that plaintiff had four marked limitations in the
27 social category on the form (Tr. 619). He specifically opined
28 plaintiff would have marked limitations with regard to his ability

1 to relate appropriately to co-workers and supervisors, interact
2 appropriately in public contacts, respond appropriately to and
3 tolerate the pressures and expectations of a normal work setting
4 and maintain appropriate behavior in a work setting (Tr. 619).

5 As noted by the ALJ, Dr. Dalley's assessed limitations in
6 social functioning were unsupported by objective record evidence
7 (Tr. 21). The finding of marked limitations in social factors is
8 contrary to plaintiff's report to Dr. Henry that he has two or
9 three close friends, interacts socially with family, does not have
10 a history of problems making and maintaining friendships, and is
11 able to relate fairly well with others (Tr. 554-555). The ALJ
12 properly found Dr. Dalley's opinions with regard to plaintiff's
13 social functioning were entitled to little weight (Tr. 21).

14 In this case, the ALJ provided specific, legitimate reasons
15 for rejecting the opinions of Dr. Dally and Ms. Sjostrom and for
16 according weight to the opinions of Dr. Henry and Dr. Beatty with
17 respect to plaintiff's mental functioning. Those reasons are
18 supported by substantial record evidence.

19 It is the responsibility of the ALJ to determine credibility,
20 resolve conflicts in medical testimony and resolve ambiguities.
21 *Saelee v. Chater*, 94 F.3d 520, 522 (9th Cir. 1996). The Court has
22 a limited role in determining whether the ALJ's decision is
23 supported by substantial evidence and may not substitute its own
24 judgment for that of the ALJ even if it might justifiably have
25 reached a different result upon de novo review. 42 U.S.C. §
26 405(g). Where, as here, the ALJ has made specific findings
27 justifying a decision, and those findings are supported by
28 substantial evidence in the record, this Court's role is not to

1 second-guess that decision. *Fair v. Bowen*, 885 F.2d 597, 604 (9th
2 Cir. 1989). Accordingly, the ALJ did not err by rejecting the
3 opinions of Dr. Dally and Ms. Sjostrom and for according weight to
4 the opinions of Dr. Henry and Dr. Beatty.

5 The regulations, 20 C.F.R. §§ 404.1520(c), 416.920(c),
6 provide that an impairment is severe if it significantly limits
7 one's ability to perform basic work activities. An impairment is
8 considered non-severe if it "does not significantly limit your
9 physical or mental ability to do basic work activities." 20
10 C.F.R. §§ 404.1521, 416.921. Step two is "a de minimis screening
11 device [used] to dispose of groundless claims," *Smolen v. Chater*,
12 80 F.3d 1273, 1290 (9th Cir. 1996), and an ALJ may find that a
13 claimant lacks a medically severe impairment or combination of
14 impairments only when this conclusion is "clearly established by
15 medical evidence." S.S.R. 85-28; *see, Webb v. Barnhart*, 433 F.3d
16 683, 686-687 (9th Cir. 2005). Applying the normal standard of
17 review to the requirements of step two, the Court must determine
18 whether the ALJ had substantial evidence to find that the medical
19 evidence clearly established that Plaintiff did not have a
20 medically severe impairment. *Yuckert v. Bowen*, 841 F.2d 303, 306
21 (9th Cir. 1988) ("Despite the deference usually accorded to the
22 Secretary's application of regulations, numerous appellate courts
23 have imposed a narrow construction upon the severity regulation
24 applied here."); *Webb*, 433 F.3d at 687.

25 The undersigned finds that the ALJ's determination that
26 plaintiff's medically determinable mental impairments do not cause
27 more than minimal limitations in plaintiff's ability to perform
28 basic mental work activities (Tr. 21) is supported by substantial

1 evidence and free of legal error. Accordingly, the credible
2 evidence of record does not establish that plaintiff has a severe
3 mental impairment. The ALJ did not err at step two of the
4 sequential evaluation process.

5 **II. Physical Limitations**

6 Plaintiff also indicates he believes the ALJ erred by finding
7 he has the RFC to perform a range of light exertion level work
8 activity. (ECF No. 14 at 16-18). Plaintiff contends that the
9 opinions of Duncan Lahtinen, D.O., reflect that he has greater
10 restrictions from a physical standpoint than those assessed by the
11 ALJ. He argues that the ALJ failed to set forth the requisite
12 specific and legitimate reasons supported by substantial evidence
13 in the record for rejecting Dr. Lahtinen's opinions.

14 As noted above, an ALJ's decision to reject the opinion of a
15 treating or examining physician, may be *based in part* on the
16 testimony of a nonexamining medical advisor, *Magallanes*, 881 F.2d
17 at 751-55, but the ALJ must also have other evidence to support
18 the decision such as laboratory test results, contrary reports
19 from examining physicians, and testimony from the claimant that
20 was inconsistent with the physician's opinion. *Magallanes*, 881
21 F.2d at 751-52; *Andrews*, 53 F.3d 1042-43.

22 The ALJ's decision discussed in great detail the record
23 evidence related to plaintiff's physical limitations (Tr. 17-19,
24 25-27). As noted by the ALJ, Dr. Lahtinen's assessments are
25 inconsistent with the objective evidence of record, which show
26 minimal findings on imaging studies and benign physical and
27 neurological examinations (Tr. 26).

28 ///

1 On June 30, 2006, at a postoperative visit, William E.
2 Bronson, M.D., noted that while plaintiff continued to struggle
3 somewhat with pain, he stated he was much better than he was
4 preoperatively (Tr. 294). Plaintiff informed Dr. Bronson at that
5 time that he had been using a motorcycle with a camera on it and
6 doing speeds in excess of 100 mph (Tr. 294).

7 A September 8, 2006, lumbar spine MRI revealed "[m]ild disc
8 height loss and dehydration at the L4-5 level with a mild diffuse
9 posterior disc bulge and a right paracentral protrusion. This
10 produces mild central stenosis with moderate narrowing at the
11 right lateral recess. The right neural foramen is also mildly
12 stenotic" (Tr. 419).

13 On October 6, 2006, plaintiff returned to Dr. Bronson with
14 complaints of continued low back pain (Tr. 292-293). Dr. Bronson
15 noted the exam was relatively benign (Tr. 292). Dr. Bronson
16 recommended physical therapy (Tr. 293). Plaintiff followed up
17 with Dr. Bronson on November 22, 2006 (Tr. 290-291). It was noted
18 at that time that the examination was benign, plaintiff had normal
19 strength in all groups, and plaintiff had no troubles sitting,
20 standing, or moving about the room (Tr. 290). On January 12,
21 2007, Dr. Bronson indicated plaintiff was generally doing better,
22 the core muscle strengthening had helped immensely and plaintiff
23 had much less pain (Tr. 289).

24 July 19, 2007 lumbar spine x-rays revealed very minimal disk
25 space narrowing at more than one level and no acute bone pathology
26 (Tr. 393). October 31, 2007 lumbar spine x-rays showed no
27 significant change from the examination of July 19, 2007 (Tr.
28 386).

1 September 12, 2008, cervical spine x-rays showed mild
2 vertebral spondylosis at C4 on 5, C5 on 6, C6 on 7 and slight
3 interval compression at C6 on 7; lumbar spine x-rays showed mild
4 vertebral spondylosis L4-5 and minimal vertebral spondylosis L3-
5 L4, x-rays of the right knee revealed compatible findings with
6 previous medial collateral ligament injury but otherwise
7 unremarkable right knee x-ray; and left knee x-rays revealed no
8 significant findings (Tr. 478).

9 On September 15, 2008, plaintiff was seen by George W. Bagby,
10 M.D., for a consultative evaluation (475-479). It was noted that
11 plaintiff was in no acute distress on examination (Tr. 476). Dr.
12 Bagby diagnosed postoperative cervical spine decompression C6 on 7
13 with subjective neural complaints of the right upper extremity and
14 possible neural encroachment at C7 on T1 level, mild degenerative
15 changes of the lumbar spine, right knee postoperative times two
16 with normal expected result, and left knee surgery again of
17 surgical removal of cartilage with normal expected results (Tr.
18 478). September 25, 2008, lumbar and cervical spine MRIs showed
19 the overall appearance had not substantially changed since the
20 prior studies in November 2005 (Tr. 480). Dr. Bagby opined that
21 the images were consistent with his prior conclusions of marked
22 impairment with the ability to perform light work (Tr. 480).

23 On April 9, 2009, state agency reviewing physician Cheri
24 Glore also opined that plaintiff could perform light work with
25 additional postural and environmental limitations (Tr. 571-578).

26 On January 14, 2009, Craig M. Bone, M.D., examined plaintiff
27 with respect to right knee complaints (Tr. 548-550). X-rays
28 showed no significant abnormalities and an MRI showed evidence of

1 a medial collateral ligament sprain. Dr. Bone diagnosed right
2 knee strain and recommended physical therapy (Tr. 549).

3 On August 13, 2008, Dr. Lahtinen filled out a DSHS Physical
4 Evaluation form which included no narrative or explanation of the
5 bases for the conclusions made on the form³ (Tr. 453-456). Dr.
6 Lahtinen opined that plaintiff's cervical and lumbar derangement
7 caused him to be severely limited, which is defined on the form as
8 unable to lift at least 2 pounds or unable to stand and/or walk
9 (Tr. 455). However, Dr. Lahtinen estimated plaintiff's
10 limitations would continue for six months⁴ (Tr. 456). On March 9,
11 2010, Dr. Lahtinen also provided a short letter which stated that
12 plaintiff "would be considered unemployable" due to issues with
13 his neck, back and depression (Tr. 643).

14 Dr. Lahtinen's August 13, 2008 assessment is inconsistent
15 with plaintiff's testimony regarding his limitations. Plaintiff
16 testified he could sit for 25 to 30 minutes at a time, stand 25 to
17 30 minutes at a time, walk about a block before having to take a
18 break, and lift and carry about 15 pounds (Tr. 54-56). Moreover,
19 the medical evidence outlined above, and thoroughly discussed by
20 the ALJ, supports the ALJ's determination that plaintiff retained
21 the physical RFC to perform a limited range of light exertion
22 level work. *See supra*. It does not support a finding that
23

24 ³A check-box form is entitled to little weight. *Crane v.*
25 *Shalala*, 76 F.3d 251, 253 (9th Cir. 1996) (holding an ALJ's
26 rejection of a check-off report that did not contain an
explanation for the conclusions made was permissible).

27 ⁴The assessed limitations of Dr. Lahtinen would thus not
28 meet the duration requirements of the Act (one year). 42 U.S.C.
§ 1382c(a)(3)(A).

1 plaintiff could only lift 2 pounds or would be unable to stand
2 and/or walk.

3 Dr. Lahtinen's opinion in the March 2010 letter that
4 plaintiff is "unemployable" is unsupported by objective medical
5 findings. See *Tonapetyan v. Halter*, 242 F.3d 1144, 1149 (9th Cir.
6 2001) (an ALJ may discredit a treating physician's opinion that is
7 unsupported by rationale or treatment notes and offers no
8 objective medical findings to support the existence of alleged
9 conditions). Furthermore, it is the role of the ALJ to determine
10 whether a claimant is disabled within the meaning of the Social
11 Security Act, and that determination is based on both medical and
12 vocational components. *Edlund*, 253 F.3d at 1156.

13 Based on the foregoing, the undersigned finds that the
14 reasons given by the ALJ for rejecting Dr. Lahtinen's assessments
15 were specific and legitimate and supported by substantial record
16 evidence. The undersigned finds that the ALJ's physical RFC
17 determination is in accord with the weight of the record evidence
18 and free of legal error.

19 CONCLUSION

20 Having reviewed the record and the ALJ's conclusions, the
21 Court finds that the ALJ's decision is free of legal error and
22 supported by substantial evidence. Accordingly,

23 IT IS HEREBY ORDERED:

24 1. Defendant's Motion for Summary Judgment (**ECF No. 16**) is
25 **GRANTED.**

26 2. Plaintiff's Motion for Summary Judgment (**ECF No. 13**) is
27 **DENIED.**

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1 **IT IS SO ORDERED.** The District Court Executive is directed
2 to file this Order, provide copies to the parties, enter judgment
3 in favor of Defendant, and **CLOSE** this file.

4 **DATED** this 4th day of March, 2013.

5
6 S/Fred Van Sickle
7 Fred Van Sickle
8 Senior United States District Judge
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